2022 Clearinghouse Enrollment Reporting Support
Warning Code 1800 Series
Data Excellence & Operations Webinar
Agenda

• Enrollment Reporting Data Points
  o Campus Level
  o Program Level

• Enrollment Reporting Error Resolution on the Web (ERW)
  New! Warning Codes (WC)
    ➢ 1801-1806
    ➢ 1811-1816
    ➢ 1821-1826
Enrollment Reporting Data Points

Campus Level
Program Level
Compliance Enrollment Reporting Components
“Bundle” Record Concept

Data Points School-to-Clearinghouse

001 Record Type - Campus Level
• Overall Enrollment Status and Permanent Address

002 Record Type - Program Level
• Unique program of enrollment (zero, one, or more programs) as defined by NSLDS/NCES

003 Record Type – Email Address
• Student contact email address
What is a Program to report to Clearinghouse?

NSLDS defines a “unique Program” for Enrollment Reporting as a distinct combination of:

- OPEID
- CIP YEAR
- CIP Code
- Credential Level
- Program Length and Measurement (Weeks, Months, or Years)
- Weeks in Title IV Academic Year (for Measurement in WEEKS or MONTHS)

**IMPORTANT!**

If a student changes majors but maintains the same unique combination of OPEID, CIP Year, CIP Code, Credential Level and Program Length/Measurement, this is the same program for Enrollment Reporting.

Program Level criteria that are **NOT** unique identifiers:

- Program Begin Date (PBD)
- Program Enrollment Status
- Program Enrollment Status Effective Date (PSED)
What Prompted the WC 1800 series Deployment?
(WC 1801-1806, 1811-1816, 1821-1826)

Over the course of the 2020-2021 award year, Schools began expressing growing concerns to Clearinghouse related to Program Level (002 Record Type) data integrity problems resulting in Student Loan Borrower, federal compliance, and audit issues.

- Clearinghouse incorporated the WC 1800 series to
  - Add visibility to possible Program Level data discrepancies via the ERW for School inspection prior to enrollment being processed in the Clearinghouse system. Processed enrollment data is then available to NSLDS and loan servicers.
  - Help mitigate Title IV federal compliance audit risks for Program Level data.
  - Help mitigate issues for aid recipient Students related to Program Level discrepancies.
Enrollment Reporting
Error Resolution on the Web (ERW)
New! Warning Codes

1801-1806 series
Enrollment Status Effective Date:
“This date only changes when the student’s enrollment status changes, for example, when the student drops from full-time enrollment to half-time enrollment. The Effective Date remains the same as long as the student stays continuously enrolled in the same enrollment status. The Effective Date should not be updated each term or each year, or with each NSLDS Enrollment reporting, unless the student’s enrollment status changed since the last reporting.”

NSLDS Enrollment Reporting Guide, page 20

WC1801 series introduced December 14, 2021
About the WC 1801 Series

- Announcement via email and Compliance Central Blog posted, December 10, 2021
  - New! Program Status Effective Date Warning Code Series (1801-1806) - Compliance Central (studentclearinghouse.org)

- Deployed December 14, 2021

- Flags enrollment records with a change to Program Status Effective Date (PSED) when there is no change to Program Enrollment Status Code

- Flags per program, 1801 Program1, 1802 Program2, 1803 Program3, 1804 Program4, 1805 Program5, 1806 Program6

- Passable

- Can be mass updated with Clearinghouse Assigned Analyst assistance

  - Record can be changed during ERW or left as is at the School’s discretion
NSLDS Federal Compliance Reporting Requirements
WC 1801 Series

Clearinghouse Enrollment Reporting Prog&Test Guide

• Program Level criteria (002 Record Type) is meant for NSLDS Title IV federal compliance reporting purposes

• As defined by the NSLDS and National Center for Education Statistics (NCES)

nces.ed.gov

NSLDS/NCES defines a Program to report in Enrollment Reporting as a unique combination of:

✓ OPE ID
✓ CIP Code
✓ CIP Year
✓ Credential Level
✓ Program Length

NSLDS/NCES does not consider these criteria to be unique program identifiers:

• Program Begin Date (PBD)
• Program Enrollment Status
• Program Enrollment Status Effective Date (PSED)
Example: ERW, WC 1801 Series

WC 1801 logic

PSED does not match last certified PSED for the same program
WC 1801 – After clicking link “View Previously Reported Student Data”

WC 1801 flagged because there is no change to Program Status but a change to PSED on incoming record for the same program.

<table>
<thead>
<tr>
<th>Data Element</th>
<th>This Submission</th>
<th>Current Data</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program Indicator</td>
<td>Y - Yes</td>
<td>Y - Yes</td>
</tr>
<tr>
<td>Program 1 CIP</td>
<td>510805</td>
<td>510805</td>
</tr>
<tr>
<td>Program 1 CIP Year (yyy)</td>
<td>2020</td>
<td>2020</td>
</tr>
<tr>
<td>Program 1 Credential Level</td>
<td>02 - Associate’s Degree</td>
<td>02 - Associate’s Degree</td>
</tr>
<tr>
<td>Program 1 Published Length</td>
<td>003000</td>
<td>003000</td>
</tr>
</tbody>
</table>
| Program 1 Published Length
  Measurement                      | Y - Year              | Y - Year           |
| Program 1 Begin Date (mm/dd/yyyy) | 01/11/2021            | 01/11/2021         |
| Program 1 Weeks Title IV Academic Year |                     |                    |
| Program 1 Special Indicator       | N - Not applicable    | N - Not applicable |
| Program 1 Enrollment Status       | L - Less than half-time| L - Less than half-time |
| Program 1 Enrollment Status
  Effective Date                   | 02/10/2022            | 01/24/2022         |
| Program 2 CIP                     |                       |                    |
| Program 2 CIP Year (yyy)          |                       |                    |
| Program 2 Credential Level        |                       |                    |
| Program 2 Published Length        |                       |                    |
Clearinghouse Enrollment Reporting Considerations

WC 1801 Series

When a Student is enrolled in multiple degree tracks, but the Program unique identifiers are the same

WC 1801 series can flag if multiple Program Level (002 Record Type) records are submitted while unique program identifiers match for the same student

If this occurs:

- Evaluate your School’s Enrollment Reporting to Clearinghouse for these Students
- Is the Student in more than one degree track or more than one unique program as defined by NSLDS/NCES?
- Consider assessing when multiple degree tracks are also unique programs for Clearinghouse Enrollment Reporting or if programs are not unique for NSLDS purposes

Solution: Report only unique programs, as defined by NSLDS/NCES, to Clearinghouse Enrollment Reporting
Enrollment Reporting
Error Resolution on the Web (ERW)
New! Warning Codes

1811-1816 series
Program Begin Date:
“The Program Begin Date is the date the student first began attending the program being reported. Typically, this would be the first day of the term in which the student began enrollment in the program, unless the student enrolled in the program on an earlier date. Note: if a student withdraws from the program and later returns to the exact same program, the Program Begin Date will not change.”

NSLDS Enrollment Reporting Guide, page 32
About the WC 1811 Series

- Announcement via email and Compliance Central Blog posted, December 20, 2021
  - New! Program Begin Date Warning Code Series (1811-1816) Enrollment Reporting - Compliance Central (studentclearinghouse.org)
- Deployed December 21, 2021
- Flags enrollment records with a change to Program Begin Date (PBD) when there is no change to the Program’s unique identifiers (Unique Identifiers are a combination of OPE ID, CIP Code, CIP Year, Credential Level, Program Length)
- Flags per program, 1811 Program1, 1812 Program2, 1813 Program3, 1814 Program4, 1815 Program5, 1816 Program6
- Passable
- Can be mass updated with Clearinghouse Assigned Analyst assistance
  - Record can be changed during ERW or left as is at the School’s discretion

<table>
<thead>
<tr>
<th>Warning</th>
<th>1811</th>
<th>Inc Prg 1 PBD does not match last certified PBD</th>
<th>Program identifiers are unchanged and incoming Program Begin Date (PBD) does not match the last certified PBD as compared to the previous report.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>If the program identifier field values have not changed and the last certified PBD is correct, the PBD should be updated to match the prior PBD value to resolve the warning. If the prior PBD was reported in error and the incoming value is correct, no changes are needed.</td>
</tr>
</tbody>
</table>

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Clearinghouse Enrollment Reporting Prog&Test Guide

• Program Level criteria (002 Record Type) is meant for NSLDS Title IV federal compliance reporting purposes

• As defined by the NSLDS and National Center for Education Statistics (NCES)

nces.ed.gov

NSLDS/NCES defines a Program to report in Enrollment Reporting as a unique combination of:

- OPE ID
- CIP Code
- CIP Year
- Credential Level
- Program Length

NSLDS/NCES does not consider these criteria to be unique program identifiers:

- Program Begin Date (PBD)
- Program Enrollment Status
- Program Enrollment Status Effective Date (PSED)
Example: ERW, WC 1811 Series

<table>
<thead>
<tr>
<th>Details</th>
<th>Student SSN</th>
<th>College Student ID</th>
<th>First Name</th>
<th>Last Name</th>
<th>Program 1 CIP</th>
<th>Previously Certified PBD</th>
<th>Program 1 Begin Date (mm/dd/yyyy)</th>
<th>Status</th>
<th>Select all/none</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>520204</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>08/17/2021</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**WC 1811 logic**

PBD does not match last certified PBD for the same program.
WC 1811 – After clicking link “View Previously Reported Student Data”

WC 1811 flagged because PBD for the same program was changed from the last certified data.
Clearinghouse Enrollment Reporting Considerations

WC 1811 Series

When a Student is enrolled in the same Program, but there is a potential SIS extract parameter causing PBD to churn in error

WC 1811 series can flag if the Program Level (002 Record Type) record(s) are submitted while the reported PBD changes since the last submission for the same program

If this occurs:

✓ Evaluate your School’s Enrollment Reporting to Clearinghouse for these Students
✓ Is the Student in the same Program but PBD is changing each enrollment file or being changed in error each time?
✓ Consider consulting your SIS vendor or system IT regarding PBD behavior for your Clearinghouse Enrollment Reporting extract

Solution: For a Student’s Program1, continue to report the same, static PBD for Program1 to Clearinghouse

Solution: Only report a change to a Student’s Program PBD if correcting an erroneous PBD previously reported to Clearinghouse
Enrollment Reporting
Error Resolution on the Web (ERW)
New! Warning Codes

1821-1826 series
Enrollment File - ERW
WC 1821 Series

NSLDS Title IV compliance requirement

Program Enrollment Status and Program Enrollment Status Effective Date (PSED):

“This date only changes when the student’s enrollment status changes, for example, when the student drops from full-time enrollment to half-time enrollment.”

NSLDS Enrollment Reporting Guide, page 20

WC1821 series introduced January 25, 2022
About the WC 1821 Series

- Announcement via email and Compliance Central Blog posted, January 21, 2022
  - Program Status Effective Date Warning Code Series, 1821-1826 - Compliance Central (studentclearinghouse.org)
- Deployed January 25, 2022
- Flags enrollment records with a change to Program Enrollment Status Effective Date (PSED) when there is no change to the same Program’s Program Enrollment Status (Unique Identifiers for a Program [002 Record Type] are a combination of OPE ID, CIP Code, CIP Year, Credential Level, Program Length)
- Flags per program, 1821 Program1, 1822 Program2, 1823 Program3, 1824 Program4, 1825 Program5, 1826 Program6
- Passable
- Can be mass updated with Clearinghouse Assigned Analyst assistance
  - Record can be changed during ERW or left as is at the School’s discretion
NSLDS Federal Compliance Reporting Requirements
WC 1821 Series

Clearinghouse Enrollment Reporting Prog&Test Guide

• Program Level criteria (002 Record Type) is meant for NSLDS Title IV federal compliance reporting purposes

• As defined by the NSLDS and National Center for Education Statistics (NCES)

nces.ed.gov

NSLDS/NCES defines a Program to report in Enrollment Reporting as a unique combination of:

✓ OPE ID
✓ CIP Code
✓ CIP Year
✓ Credential Level
✓ Program Length

NSLDS/NCES does not consider these criteria to be unique program identifiers:

• Program Begin Date (PBD)
• Program Enrollment Status
• Program Enrollment Status Effective Date (PSED)
Example: ERW, WC 1821 Series

WC 1821 logic: PSED does not match last certified PSED for the same program.
WC 1821 – After clicking link “View Previously Reported Student Data”

WC 1821 flagged because there is no change to Program Status but a change to PSED on incoming record for the same program.
Clearinghouse Enrollment Reporting Considerations
WC 1821 Series

When a Student is enrolled in the same Program, but there is a change to the PSED WC 1821 series can flag if the Program Level (002 Record Type) record(s) are submitted while a change to PSED when there is no change to Program Enrollment Status

If this occurs:

✔ Evaluate your School’s Enrollment Reporting to Clearinghouse for these Students

✔ Was the student enrolled in the previous term and reported on the first file of current term, later evaluated to not have returned after last term?

✔ Is the Student in the same Program but PSED is changing each enrollment file or being changed in error each time?

✔ Consider consulting your SIS vendor or system IT regarding PSED behavior for your Clearinghouse Enrollment Reporting extract

✔ **Solution:** Only report a change to a Program’s PSED if the same Program’s Enrollment Status changes; or

✔ **Solution:** For a Student enrolled last term who did not return this term, report last enrollment as the Campus Level SSD and Program Level PSED

✔ **Solution:** If submitting a correction to PSED for the same program
WC 1800 Series Resources

Clearinghouse Compliance Central Blog Posts

• New! Program Status Effective Date Warning Code Series (1801-1806) - Compliance Central (studentclearinghouse.org)
• New! Program Begin Date Warning Code Series (1811-1816) Enrollment Reporting - Compliance Central (studentclearinghouse.org)
• Program Status Effective Date Warning Code Series, 1821-1826 - Compliance Central (studentclearinghouse.org)

Tutorial

• Mass Correct Program Level Data: Errors 1801-1806, 1811-1816, 1821-1826 | Clearinghouse Academy (studentclearinghouse.org)
Resources

- Clearinghouse Compliance Central Blog
  Enrollment Reporting Enhancements and NSLDS Title IV Federal Compliance Updates
  [https://www.studentclearinghouse.org/compliancecentral/blog/](https://www.studentclearinghouse.org/compliancecentral/blog/)

- Clearinghouse Data Integrity and Operations
  Enrollment & Degree File Processing
  Enrollment File Transmission Schedule
  Inquiries
  [SchoolOps@studentclearinghouse.org](mailto:SchoolOps@studentclearinghouse.org)

- Clearinghouse Audit Resource Center
  Audit and Compliance Inquiries
  [AuditResource@studentclearinghouse.org](mailto:AuditResource@studentclearinghouse.org)